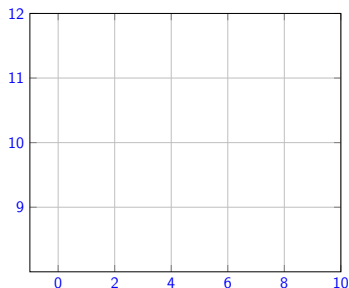
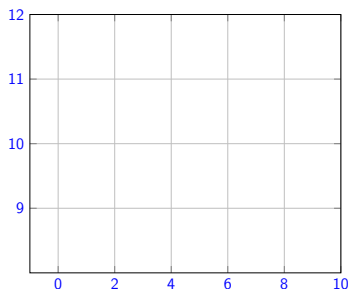


# Chapter 4.1: Extreme Values of Functions

# Maximum and Minimum

- ▶  $f$  has an *absolute maximum* at  $c$  if  $f(x) \leq f(c)$  for all  $x$ .
- ▶  $f$  has an *absolute minimum* at  $c$  if  $f(x) \geq f(c)$  for all  $x$ .
- ▶  $f$  has a *local maximum* at  $c$  if  $f(x) \leq f(c)$  for all  $x$  *near*  $c$ .
- ▶  $f$  has a *local minimum* at  $c$  if  $f(x) \geq f(c)$  for all  $x$  *near*  $c$ .



Don't forget flat line and open interval.

# Derivatives Help

- ▶ If  $f'(c) > 0$ , then near  $c$  our function  $f$  is going up.
- ▶ If  $f'(c) < 0$ , then near  $c$  our function  $f$  is going down.
- ▶ *If  $f'(c) \neq 0$  and  $c$  is NOT ON THE BOUNDARY, then  $c$  is not a local min or max.*

A point  $c$  is *critical point* if

- ▶  $f'(c)$  is undefined
- ▶  $f'(c) = 0$
- ▶  $c$  is a boundary point

A (local) extreme can only occur at a critical point.

**Example:** Find all critical points of  $x^{2/3}e^{-x/3}$  for  $-1 \leq x \leq 5$ .

Make derivative = 0.

$$\frac{2}{3}x^{-1/3}e^{-x/3} + x^{2/3}e^{-x/3}(-1/3) = 0$$

$$2x^{-1/3} - x^{2/3} = 0$$

$$\frac{1}{\sqrt[3]{x}}(2 - x) = 0$$

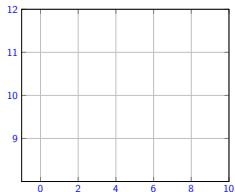
Critical points are  $\{-1, 5, 0, 2\}$ .

# Existence of Extreme Points

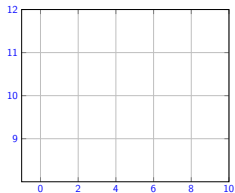
## Extreme Value Theorem

A continuous function on a closed and bounded interval (e.g.  $a \leq x \leq b$ ) has an absolute min and absolute max.

Continuous is necessary



Closed and bounded is necessary



If we have a continuous function  $f$  on a closed bounded interval, we can find the absolute max and absolute min by the following procedure:

- ▶ Find all critical points
- ▶ Evaluate  $f$  at critical points
- ▶ Largest value = absolute max  
smallest value = absolute min

**Example:** Find absolute min and max of  $f(x) = x^2 - x$  on  $[0, 1]$

The derivative is  $f'(x) = 2x - 1$ . The only critical point is  $c = 1/2$ . Now, we evaluate the function at 0,  $1/2$ , and 1:

$$f(0) = 0, \quad f(1/2) = -1/4 \quad f(1) = 0$$

Consequently, the absolute maximum **value** is  $0 = f(0) = f(1)$  and the absolute minimum **value** is  $-1/4 = f(1/2)$

## Examples

Find absolute max and min of

$$g(x) = 2x^3 - 9x^2 + 12x + 6 \text{ on } [2, 3]$$

Take the derivative:

$$\begin{aligned} g'(x) &= 6x^2 - 18x + 12 = 6(x^2 - 3x + 2) \\ &= 6(x - 1)(x - 2) \end{aligned}$$

The only critical point *in the interval*  $[2, 3]$  is  $x = 2$ . Thus we need only check the endpoints:

$$g(2) = 10 \quad \text{and} \quad g(3) = 15$$

So the absolute maximum value is  $15 = g(3)$  and the absolute minimum value is  $10 = g(2)$ .

$$f(x) = \sqrt{4 - x^2} \text{ on } [-2, 1]$$

The derivative is

$$f'(x) = -\frac{x}{\sqrt{4 - x^2}}$$

The critical points in the domain are  $c = 0, -2$ . So, we evaluate the function at  $-2, 0$ , and  $1$ :

$$f(-2) = 0 \quad f(0) = 2 \quad f(1) = \sqrt{3}$$

Thus the absolute maximum value is  $2 = f(0)$  and the absolute minimum is  $0 = f(-2)$ .

## Examples

Find absolute max and min of

$$f(x) = |x^2 - 4x - 5| \text{ for } 0 \leq x \leq 6$$

No derivative at  $x^2 - 4x - 5 = 0$ . That is  $(x - 5)(x + 1) = 0$ . So critical points are 5 and  $-1$ .

Then for finding derivatives = 0, we can try  $x^2 - 4x - 5$  and take the derivative there:  $2x - 4 = 0$ . Hence critical point is  $x = 2$ .

All critical points are  $\{0, 2, 5, 6\}$ . We evaluate  $f$  at critical points and get

$$f(0) = 5; f(2) = 9; f(5) = 0; f(6) = 7$$

Hence absolute max is  $f(2) = 9$  and absolute min is  $f(5) = 0$ .

$$g(t) = t^8 e^{-t^2} \text{ for } -1 \leq t \leq 10$$

Take the derivative = 0

$$8t^7 e^{-t^2} - t^8 e^{-t^2} 2t = 0$$

If  $t \neq 0$  we get

$$8 - 2t^2 = 0$$

Hence  $t \in \{-2, 2\}$ . Note  $-2$  is not in  $[-1, 10]$ . Now critical points are  $\{-1, 0, 2, 10\}$ . Lets evaluate  $g(t)$  at critical points.

$$f(-1) = e^{-1}; f(0) = 1; f(2) = 2^8 \cdot e^{-4}; f(10) = 2^{10} \cdot e^{-100}$$

Absolute max is  $f(2) = 2^8 \cdot e^{-4}$ .

Absolute min is

$$f(2) = f(10) = 2^{10} \cdot e^{-100}.$$

## Examples

Find absolute max and min of  $g(x) = 1/(x - 3/4) + \ln(x)$  on  $[1, 4]$ .

Take the derivative:

$$g'(x) = -\frac{1}{(x - 3/4)^2} + \frac{1}{x}$$

These derivatives do not exist at 0 and  $3/4$ , but we do not need to worry about these points. Set it equal to zero, then

$$0 = -\frac{1}{(x - 3/4)^2} + \frac{1}{x}$$

$$\frac{1}{(x - 3/4)^2} = \frac{1}{x}$$

$$x = (x - 3/4)^2$$

$$x = x^2 - \frac{3}{2}x + \frac{9}{16}$$

$$0 = x^2 - \frac{5}{2}x + \frac{9}{16}$$

$$0 = \frac{1}{16}(4x - 1)(4x - 9)$$

The critical points are  $1/4$  and  $9/4$ , and we need only to investigate  $9/4$ . So, we evaluate the function at 1,  $9/4$ , and 4:

$$g(1) = 4 \quad g(9/4) = 2/3 + \ln(9/4) \approx 1.4$$

$$g(4) = 4/13 + \ln(4) \approx 1.6$$

Thus the absolute maximum value is  $4 = g(1)$  and the absolute minimum value is  $2/3 + \ln(9/4) = g(9/4)$ .